

Michigan Health Information Network

Cancer Pathology

Implementation Guide

Version 11

September 26, 2016



For information visit www.mihin.org or contact http://mihin.org/requesthelp/ Copyright 2016 Michigan Health Information Network Shared Services

Document History

Date	Version	Section(s) Revised	Description	Modifier
03/17/2016	1	All	Initial Document	Michael Taylor
04/20/2016	2	All	Public Health Reporting UCIG template updates	Brandi Briones Michael Taylor
04/21/2016	3	All	Marty / PROPS review	Marty Woodruff
04/25/2016	4	All	Review, edit	D. Livesay
04/26/2016	5	All	Light edit, formatting corrections	S. Southard
06/28/2016	6	All	Conversion to new format	B. Ladner
07/20/2016	7	All	Review	N. Newton
08/18/2016	8	All	Update new format	B. Briones
8/18/16	9	All	Review and editing	S. Southard
8/31/16	10	All	Review and editing	D. Livesay
9/26/16	11	All	Review and editing	D. Livesay



For information visit www.mihin.org or contact http://mihin.org/requesthelp/ Copyright 2016 Michigan Health Information Network Shared Services

Table of Contents

Acronyms and Abbreviations Guide	1
Definitions	2
1 Introduction 1.1 Purpose of Use Case 1.2 Message Content 1.3 Data Flow and Actors	6 6
2 Standard Overview 2.1 Message Format 2.2 Message Example	8
 3 Onboarding Process	9 9 9
 4 Specifications 4.1 Message Trigger Events 4.2 General Message Requirements 4.3 Specific Segment and Field Definitions 4.3.1 Segment 1 – Message Header 4.3.2 All Remaining Segments 	11 11 11 11
5 Troubleshooting 5.1 Production Support	
6 Legal Advisory Language	14



Acronyms and Abbreviations Guide

DQA	Data Quality Assurance			
DSA	Data Sharing Agreement			
HIN	Health Information			
	Network			
HL7	Health Level Seven			
MCSP	Michigan Cancer			
	Surveillance Program			
MDHHS	Michigan Department of			
	Health and Human			
	Services			
MiHIN	Michigan Health			
	Information Network			
	Shared Services			
MSH	Message Header			
MU	Meaningful Use			
MUCA	Master Use Case			
	Agreement			
NAACCR	North American			
	Association of Central			
	Cancer Registries			
OID	Object Identifier			
PHIN MS	Public Health Information			
	Network Messaging			
	System			
TDSO	Trusted Data Sharing			
	Organization			
VPN	Virtual Private Network			



Definitions

- Applicable Laws and Standards. In addition to the definition set forth in the Data Sharing Agreement, the federal Confidentiality of Alcohol and Drug Abuse Patient Records statute, section 543 of the Public Health Service Act, 42 U.S.C. 290dd-2, and its implementing regulation, 42 CFR Part 2; the Michigan Mental Health Code, at MCLA §§ 333.1748 and 333.1748a; and the Michigan Public Health Code, at MCL § 333.5131, 5114a.
- **Common Gateway.** The method by which data is sent and received by HIN using different national standard protocols (e.g. NwHIN SOAP, IHE XCA, IHE XDS.b).
- **Conforming Message.** A message that is in a standard format that strictly adheres to the implementation guide for this use case.
- **Data Sharing Agreement.** Any data sharing organization agreement signed by both HIN and participating organization
- **Electronic Address.** A string that identifies the transport protocol and end point address for communicating electronically with a recipient. A recipient may be a person, organization or other entity that has designated the electronic address as the point at which it will receive electronic messages.
- **Electronic Service Information.** All information reasonably necessary to define an electronic destination's ability to receive and use a specific type of information (e.g., discharge summary, patient summary, laboratory report, query for patient/provider/healthcare data).
- End Point. An instance of an electronic address or ESI.
- Exhibit. A use case exhibit or a pilot activity exhibit.
- **Health Level 7 (HL7)**. An interface standard and specifications for clinical and administrative healthcare data developed by the American National Standards Institute. HL7 provides a method for disparate systems to communicate clinical and administrative information in a normalized format with acknowledgement of receipt
- **Health Information.** Any information, including genetic information, whether oral or recorded in any form or medium, that (a) is created or received by a health professional, health plan, public health authority, employer, life insurer, school or university, or health care clearinghouse; and (b) relates to the past, present, or future physical or mental health or condition of an individual; the provision of health care to an individual; or the past, present, or future payment for the provision of health care to an individual.
- **Health Information Network (HIN).** An organization or group of organizations responsible for coordinating the exchange of protected health information (PHI) in a region, state, or nationally.



- Health Plan. An individual or group plan that provides, or pays the cost of medical care (as defined in section 2791(a)(2) of the Public Health Service Act, 42 U.S.C. 300gg-91(a)(2)). Health Plan further includes those entities defined as a health plan under HIPAA, 45 CFR 160.103.
- Health Professional or Health Provider. (a) Any individual licensed, registered, or certified under Federal or State laws or regulations to provide health care services;
 (b) any person holding a non-clinical position within or associated with an organization that provides healthcare or healthcare related services; and (c) people who contribute to the gathering, recording, processing, analysis or communication of Health Information.
- HIN Infrastructure Service. Certain services that are shared by numerous use cases. HIN Infrastructure Services include, but are not limited to, ACRS, HPD, Statewide Consumer Directory (SCD), and the Medical Information DIrect GATEway (MIDIGATE®).
- **HIN Services**. The HIN infrastructure services and additional services and functionality provided by HIN allowing the participating organization to send, receive, find, or use information to or from HIN as further set forth in an exhibit.
- **Information Source**. Any organization that provides information that is added to a HIN Infrastructure Service.
- **Master Use Case Agreement.** Legal document covering expected rules of engagement across all use cases. Trusted data sharing organizations sign master use case agreement one time, then sign use case exhibits for participation in specific use cases.
- **Meaningful Use**. Using certified EHR technology to improve quality, safety and efficiency of healthcare, and to reduce health disparities.
- **Message**. A mechanism for exchanging message content between the participating organization to HIN services, including query and retrieve.
- **Message Content**. Information which is sent, received, found or used by a participating organization to or from HIN Services, including, but not limited to, PHI, common keys, de-identified data, metadata, Digital Credentials, and data schema. Message Content includes the Message Content Header.
- **Message Header**. The MSH segment present in every HL7 message type that defines the message's source, purpose, destination, and certain syntax specifics such as delimiters (separator characters) and character sets. It is always the first segment in the HL7 message, with the only exception being HL7 batch messages.
- **Michigan Cancer Surveillance Program**. The program that operates the cancer registry for the State of Michigan. The cancer registry is connected to the MDHHS Data Hub.



- Michigan Health Information Network Shared Services. The HIN for the State of Michigan.
- **Negative Acknowledgment.** "Not acknowledged" and is used to negatively acknowledge or to reject previously received message content or to indicate some kind of error.
- **Notice**. A message transmission that is not message content and which may include but not be limited to an acknowledgement of receipt or error response.
- **Patient Data**. Any data about a patient or a consumer that is electronically filed in a participating organization or organization's systems or repositories. The data may contain protected health information, personal credit information, or personally identifiable information.
- **Person Record**. Any record in a HIN Infrastructure Service that primarily relates to an individual person.
- **Pilot Activity**. The activities set forth in the applicable exhibit and typically includes sharing message content through early trials of a new use case that is still being defined and is still under development and which may include participating organization feedback to HIN to assist in finalizing a use case and use case exhibit upon conclusion of the pilot activity.
- Send / Receive / Find / Use. Means sending, receiving, finding, or using message content. Sending involves transport of message content. Receiving involves accepting and possibly consuming/storing message content. Finding means querying to locate message content. Using means any use of the message content other than sending, receiving and finding.
- **Service Interruption**. A party is unable to send, receive or find message content for any reason, including but not limited to the failure of network equipment or software, scheduled or unscheduled maintenance, general Internet outages, and events of force majeure.
- **Source System**. A computer system, such as an electronic health record system, at the participating organization, that sends, receives, finds or uses message content or notices.
- **Transactional Basis.** The transmission of message content or a notice within a period of time of receiving message Content or notice from a sending or receiving party as may be further set forth in a specific exhibit.
- **Trusted Data Sharing Organization**. An organization that has signed any form of agreement with HIN for data sharing.
- **Use Case.** A specific scenario or group of scenarios for sharing patient health information.
- **Use Case Exhibit.** The legal agreement attached as an exhibit to the Master Use Case Agreement that governs participation in any specific Use Case.



- **Use Case Implementation Guide**. The document providing technical specifications related to Message Content and transport of Message Content between participating organizations, HIN, and other TDSOs. Use Case Implementation Guides are made available via URLs in exhibits.
- **Use Case Summary**. The document providing the executive summary, business justification and value proposition of a use case. Use case summaries are provided by HIN upon request and are available via <u>www.mihin.org</u>.



1 Introduction

1.1 Purpose of Use Case

To help keep consumers healthy and cancer-free, state cancer surveillance programs are tasked with monitoring the incidence of cancer. These programs work to help consumers prevent cancer while also encouraging recommended cancer screenings or tests to help detect cancer early.

Cancer pathology reports are an important and fundamental tool for cancer monitoring, service planning, and research. These reports are the primary method for identifying the rate of occurrence and impact of cancer in a population. Cancer information collected from pathology laboratories can be used to evaluate diagnosis and treatment strategies for an entire consumer population and for the Medicaid beneficiary population. Meaningful Use recognizes the importance of this information as a reportable measure.

Paper-based reporting has been used for most reportable infectious diseases and other laboratory findings, such as cancer pathology reports. Today, electronic reports allow hospitals and laboratories to send these cancer test results through automated and secure digital communication quickly and efficiently. Electronic lab reports allow timely reporting to public health agencies and help reduce reporting burdens in healthcare.

National cancer laboratories are currently able to send pathology information to state central cancer registries through the Public Health Information Network Messaging System (PHIN MS). State cancer registries are intended to enable monitoring the incidence of cancer, enable cancer control planning and allow assessment of progress toward goals relative to the state public health interventions.

However, Michigan is one of the few remaining states that does not receive electronic cancer pathology lab test results through PHIN MS. For the State of Michigan, this role is performed by the Michigan Cancer Surveillance Program. The health information network (HIN) transports this information through the Michigan Department of Health and Human Services (MDHHS) Data Hub to the state's Cancer Registry.

Purpose of Use Case: The Cancer Pathology use case allows healthcare providers and pathology laboratories to send electronic lab results containing electronic pathology reports through the HIN.

1.2 Message Content

For this use case, Message Content means HL7 2.xx, ORU^R01.



1.3 Data Flow and Actors

In this use case, HIN enables the transport of messages across Trusted Data Sharing Organizations (TDSOs) within HIN, called "Participating Organizations" in the diagram below.

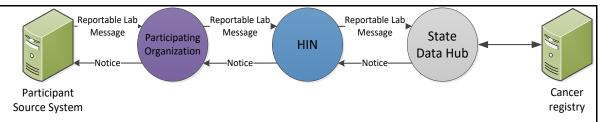


Figure 1. Data Flow for Cancer Pathology Reports

For more information about this use case, refer to the documents found on the following page:

http://mihin.org/cancer-pathology/



2 Standard Overview

2.1 Message Format

For sending Public Health Reporting messages to state registries, HL7 v2.5.1 or newer version of HL7 is preferred, however v2.3.1 is allowable.

2.2 Message Example

HIN is content-agnostic and does not validate content for this use case beyond the message header. To enter fully into production, messages must conform to the registry's implementation guide as well: <u>North American Association of Central Cancer</u> <u>Registries Implementation Guide for Pathology Laboratory Electronic Reporting</u>.

A message example is shown below:

MSH|^~\&||INDEPENDENTLABSERVICES^33D1234567^CLIA|||200506021339||ORU^ R01^ORU_R01|2004072813390045|P|2.5.1|||||||VOL_V_3 0_ORU_R01^NAACCR_CP^2.16.840.1.113883.9.8^ISO

PID|1||000001125^^^SS~common key insertion area^^^CKS ~00466144^^^MR||Paulson^Ryan||19780319|M||2106-3^White^HL70005| 640 E Hudson Circle^^St. Clair Shores^MI^48082^^H||||M ORC|RE|||||||||||||||||||||||Gift of Mercy Hospital| 565 S Rhine Street^^Warren^MI^48093||565 S Rhine Street^^Warren^MI^48082

OBR|1||06-123456-MH|22049-1^Flow Cytometry Analysis^LN||200505021212|||||200505311130|^Bone marrow|^B.J.^Healing^^^^M.D.|2033271605||||200505311332|||F|||||109772&PAT HOLOGIST&QUINCY&&&Dr .&MD&&NPI

OBX|1|TX|22633-2^nature of specimen^LN||Bone marrow.|||N|||F|||200505021212 OBX|2|TX|22636-5^clinical history^LN||Evaluate for non-Hodgkin's lymphoma: ALL: myelodysplastic syndromes: chronic Lymphoproliferative disorders, CLL. Prior therapy: chemotherapy, Fludarabine more than one month ago. CBC report received.|||N|||F|||200505021212

OBX|3|TX|22638-1^comments^{LN}||Correlation with a comprehensive bone marrow morphology examination, CBC data/blood smear, and other relevant clincial and laboratory data is recommended.|||N|||F|||200505021212

OBX|4|TX|22637-3^{final} diagnosis^{LN}||A small population of monoclonal B-cells (Kappa) is present in the bone marrow. The antigenic profile is consistent with chronic lymphocytic leukemia/small lymphocytic lymphoma (CLL/SLL).||N|||F|||200505021212



OBX|5|TX|22049-1^{phenotype^{LN}||1. A monoclonal kappa B-cell population coexpressing CD5 and CD23 is present. 2. -92% maturing myeloid elements are present.||N||F||20050502121 *Green-highlighted area above is common key insertion area}

3 Onboarding Process

3.1 Initial Onboarding

For organizations to share data with HIN under this use case, the organization undergoes two onboarding processes simultaneously. The two onboarding processes are legal onboarding and technical connectivity onboarding. These may occur in parallel – i.e. the organization can review and complete legal agreements with HIN while simultaneously establishing and testing technical connectivity. To initiate these two parallel onboarding processes, notify HIN via http://mihin.org/requesthelp/.

3.1.1 Initial Legal Process

The first time an organization undergoes the legal onboarding process with HIN, the organization negotiates and enters into a master participating organization agreement and master use case agreement which then allows the participating organization to enter into one or more use cases via use case exhibits.

Once an organization has entered into a master participating organization agreement, the organization can enter into an unlimited number of use cases with HIN. All of HIN's use cases are available at:

http://mihin.org/use-case-factory/

3.1.2 Initial Technical Connectivity and Transport Process

HIN considers itself "transport agnostic" and offers multiple options for organizations to establish technical connectivity to transport data to HIN. Organizations should select one or more connectivity methods for message transport based on their technical capabilities, and should communicate the selection(s) to http://mihin.org/requesthelp/ early in the onboarding process. Currently HIN accepts the following transport methods:

LLP over IPsec VPN – Lower-Layer Protocol over Internet Protocol Security Virtual Private Network

For VPN connectivity two VPNs are required. A primary VPN will facilitate regular traffic. A secondary will be established for fail-over purposes.

The following steps describe the technical onboarding process. However, HIN typically conducts "onboarding kickoff" meetings with new participating organizations to go through each of these steps in detail and answer any questions.



- 1. The participating organization selects one or more supported transport methods and establishes connectivity with HIN. This step varies based on the method selected:
 - a. LLP over IPsec VPN HIN's site-to-site VPN request form must be completed, sent to and approved by HIN. Send an email via <u>www.mihin.org/requesthelp</u> to obtain the VPN request form. A pre-shared key is then exchanged between the participating organization and HIN to initialize the connection. The LLP over IPsec VPN is the most efficient transport for very high volumes of messages.
- 2. Test messages are sent by the participating organization to HIN.
 - a. All test messages must have a "T" in the Message Header field 11
 - b. Test traffic is routed via HIN to the appropriate destination. For Cancer Pathology, the destination is the state Cancer Registry via the state data hub.
 - c. The end destination monitors for inbound test traffic and confirm receipt with HIN, which confirms with the participating organization.
- 3. For the Cancer Pathology Use Case, the state Cancer Registry deems the sending facility to have entered into Data Quality Assurance Status (DQA) once they have successfully received a properly formatted message from the sending facility via the organization through HIN.
 - a. Until completion of the DQA process, sending facilities should continue to dually send their Cancer Pathology reports through HIN as well as continuing to send using any current method.
- 4. The state Michigan Cancer Registry declares the sending facility to be at production status after another period of successful testing and exiting DQA status.
 - a. At this time, the sending facility may then send production messages through the participating organization to HIN. The sending facility now places a "P" (for production) value in the MSH-11 instead of the "T" used during testing.

3.2 Onboarding Additional Sending Facilities

When a participating organization wishes to onboard additional sending facilities, those facilities must first register with the cancer registry. Once successful, the registration information from the cancer registry, including the Facility ID Number, must be emailed via <u>www.mihin.org/requesthelp</u>.

The new sending facility should then begin sending test messages to the cancer registry in the same fashion as the initial facility as detailed in section 3.1.2. Test messages may be required to contain "T" value in MSH-11. This requirement is decided by the receiving organization.



4 Specifications

4.1 Message Trigger Events

The HL7 message type for cancer pathology is ORU and the trigger event is R01.

4.2 General Message Requirements

For general rules that apply to the entire message, refer to the <u>NAACCR Implementation</u> <u>Guide for Pathology Laboratory Electronic Reporting</u>.

4.3 Specific Segment and Field Definitions

4.3.1 Segment 1 – Message Header

The definitions in the table below shall be conformed to by all HL7 messages communicating the message header segment.

Sequ	Len			Cardin				
ence	gth	DT	Usage	ality	TBL#	Item #	Element Name	Comments
1	1	ST	R	11		00001	Field Separator	
2	4	ST	R	11		00002	Encoding Characters	
3	180	HD	R	11	0361	00003	Sending Application	
4	180	HD	R	11	0362	00004	Sending Facility	OID
5	180	HD	R	11	0361	00005	Receiving Application	MCSR
6	180	HD	R	11	0362	00006	Receiving Facility	MDHHS
7	26	TS	R	11		00007	Date/Time of Message	
8	40	ST	Х	00		00008	Security	
9	7	СМ	R	11	0076 0003	00009	Message Type	ORU^R01^ORU _R01
10	20	ST	R	11		00010	Message Control ID	Should be repopulated (rather than pass-through) for outbound message header
11	3	РТ	R	11		00011	Processing ID	P when in production, T for testing
12	60	VID	R	11	0104	00012	Version ID	
13	15	NM	Х	00		00013	Sequence Number	
14	180	ST	Х	00		00014	Continuation Pointer	



Sequ	Len			Cardin				
ence	gth	DT	Usage	ality	TBL#	Item #	Element Name	Comments
15	2	ID	X	00	0155	00015	Accept Acknowledgment Type	
16	2	ID	X	00	0155	00016	Application Acknowledgment Type	
17	2	ID	Х	00		00017	Country Code	
18	16	ID	Х	00		00692	Character Set	
19	60	CE	Х	00			Principal Language of Message	
20	20	ID	Х	00		00356	Alternate Character Set Handling Scheme	

4.3.2 All Remaining Segments

The message header is the only segment that HIN requires to be formatted in a certain way. As noted previously, please follow the registry specified standards for all remaining segment and field definitions: <u>NAACCR Implementation Guide for Pathology</u> <u>Laboratory Electronic Reporting</u>.



5 Troubleshooting

5.1 Production Support

	Severity Levels						
	1	2	3	4			
Description	Critical Impact/ System Down: Business critical software is down or critical interface has failed. The issue is impacting all production systems, causing all participating organizations' or other organizations' ability to function to be unusable.	Significant Business Impact: Software component severely restricted. Entire organization is unable to continue business functions, causing all communications and transfer of messages to be halted.	Partial Failure or Downtime: Program is useable and less significant features unavailable. The service is online, though may not working as intended or may not currently working as intended or may not currently be accessible, though other systems are currently available.	Minimal Business: A non-critical software component is malfunctioning, causing minimal impact, or a test system is down.			
Example	All messages to and from HIN are unable to be sent and received, let alone tracked	HIN cannot communication (send or receive) messages between single or multiple participating organizations, but can still successfully communicate with other organizations.	Messages are lost in transit, messages can be received but not sent.	Additional feature requested.			
Primary Initiation Method	Phone: (517) 336- 1430	Phone: (517) 336- 1430	Web form at http://mihin.org/ requesthelp	Web form at http://mihin.org/ requesthelp			
Secondary Initiation Method	Web form at http://mihin.org/ requesthelp	Web form at http://mihin.org/ requesthelp	Email to help@mihin.org	Email to help@mihin.org			
Tertiary Initiation Method	Email to help@mihin.org	Email to help@mihin.org	N/A	N/A			
Initial Response	Within 2 hours	Within 2 hours	1 business day	1 business day			
Resolution Goal	24 hours	24 hours	3 business days	7 business days			

If you are experiencing difficulties or have questions, please contact the HIN Help Desk:

- www.mihin.org/requesthelp
- Phone: (517) 336-1430
- Monday Friday, 8:00 AM 5:00 PM (Eastern)



6 Legal Advisory Language

This reminder applies to all use cases covering the exchange of electronic health information:

The Data Sharing Agreement (DSA) establishes the legal framework under which participating organizations can exchange messages through the HIN Platform, and sets forth the following approved reasons for which messages may be exchanged:

- a. By health care providers for Treatment, Payment and/or Health Care Operations consistent with the requirements set forth in HIPAA
- b. Public health activities and reporting as permitted by HIPAA and other Applicable Laws and Standards
- c. To facilitate the implementation of "Meaningful Use" criteria as specified in the American Recovery and Reinvestment Act of 2009 and as permitted by HIPAA
- d. Uses and disclosures pursuant to an Authorization provided by the individual who is the subject of the Message or such individual's personal representative in accordance with HIPAA
- e. By Data Sharing Organizations for any and all purposes, including but not limited to pilot programs and testing, provided that such purposes are consistent with Applicable Laws and Standards
- f. For any additional purposes as specified in any use case, provided that such purposes are consistent with Applicable Laws and Standards

Under the DSA, "*Applicable Laws and Standards*" means all applicable federal, state, and local laws, statutes, acts, ordinances, rules, codes, standards, regulations and judicial or administrative decisions promulgated by any governmental or self-regulatory agency, including the State of Michigan, the Michigan Health Information Technology Commission, or the Michigan Health and Hospital Association, as any of the foregoing may be amended, modified, codified, reenacted, promulgated or published, in whole or in part, and in effect from time to time. "Applicable Laws and Standards" includes but is not limited to HIPAA; the federal Confidentiality of Alcohol and Drug Abuse Patient Records statute, section 543 of the Public Health Service Act, 42 U.S.C. 290dd-2, and its implementing regulation, 42 CFR Part 2; the Michigan Mental Health Code, at MCLA §§ 333.1748 and 333.1748a; and the Michigan Public Health Code, at MCL § 333.5131, 5114a.

It is each participating organization's obligation and responsibility to ensure that it is aware of Applicable Laws and Standards as they pertain to the content of each message sent, and that its delivery of each message complies with the Applicable Laws and Standards. This means, for example, that if a use case is directed to the exchange of physical health information that may be exchanged without patient authorization under HIPAA, the participating organization must not deliver any message containing health information for which an express patient authorization or consent is required (e.g., mental or behavioral health information).



Disclaimer: The information contained in this implementation guide was current as of the date of the latest revision in the Document History in this guide. However, Medicare and Medicaid policies are subject to change and do so frequently. HL7 versions and formatting are also subject to updates. Therefore, links to any source documents have been provided within this guide for reference. HIN applies its best efforts to keep all information in this guide up-to-date. It is ultimately the responsibility of the participating organization and sending facilities to be knowledgeable of changes outside of HIN's control.

